

*Important Emergency Contact Information
in the Event of a Significant Business Disruption*

All of us at Capital West would like to thank you for the opportunity to service your investment needs. An integral component of that service is providing you with continued access to your account assets. In today's world of electronic communications and networked businesses, various events could interrupt primary routines of conducting business. We would like to take this opportunity to assure you that we have plans in place for continuing service to our clients during business emergencies.

The varying scenarios that we have planned for include disruptions that would affect a branch office, the home office building, a surrounding business district, an entire city, or a general geographic region, as well as firm-wide issues. Depending on the severity of the disruption, we anticipate being able to provide you with the level of service you are accustomed to in a matter of hours for a localized matter and within a few days for a significant building, district or regional disruption. Many of our communications and support systems are designed to redirect to backup systems in the event of a critical disruption and we feel confident these systems will be able to continue to communicate essential information to your broker who, in turn, will be able to communicate with you.

Please Keep This Card in a Convenient Location for Use in Case of an Emergency

This notice in its most current form will be posted on our web site, www.capitalwest.com.

In the event that we need to initiate our business continuity strategy and you are unable to reach your broker, emergency information will be posted to our web site. Our main telephone and our toll-free numbers, will be forwarded to another location and monitored as quickly as possible. If you are unable to reach Capital West at those numbers, you may contact our clearing firm, Pershing, LLC, directly for access to order entry or funds in your account.

Capital West Main Numbers

405-235-5700

800-297-8734

877-664-6644

Pershing, LLC

630-472-7403